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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/735,608	12/12/2003	Marcel P. Bruchez	5100-0702.20

20855
ROBINS & PASTERNAK
1731 EMBARCADERO ROAD
SUITE 230
PALO ALTO, CA 94303

CONFIRMATION NO. 1956

FORMALITIES LETTER



OC000000012193238

Date Mailed: 03/25/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$189 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$639** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$189**

07/23/2004 RHEBRANT 00000055 10735608

01 FC:2051
02 FC:2001
03 FC:2202

65.00 DP
385.00 DP
189.00 DP

20

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- \$189 for 21 total claims over 20.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Atty Dkt 5100-0702.20
PATENT

IPW

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

7/19/04
Date

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

BRUCHEZ et al.

Confirmation No.: 1956

Serial No.: 10/735,608

Group Art Unit: 1645

Filing Date: December 12, 2003

Examiner: Not Assigned

Title: METHOD FOR ENHANCING TRANSPORT OF SEMICONDUCTOR
NANOCRYSTALS ACROSS BIOLOGICAL MEMBRANES

TRANSMITTAL LETTER

Mail Stop Missing Parts
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

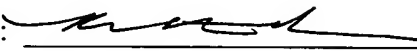
Sir:

This is in response to the Notice to File Missing Parts dated March 25, 2004. Transmitted herewith for filing are the following documents: a copy of the Notice to File Missing Parts; Combined Declaration and Power of Attorney signed by the inventors; a Blanket Petition for Extension of Time and Authorization to Charge or Credit Deposit Account; Information Disclosure Statement Transmittal with Information Disclosure Statement, PTO/SB/08A and 1 Reference; Sequence Listing in Hard and Disc Format with Statement, copy of the Preliminary Amendment filed April 6, 2004 Correcting the Priority Claim, Power of Attorney signed by Carnegie Mellon University and Petition to Extend Time to Respond for 2 months. Applicants claim small entity status. Also enclosed is a check in the amount of \$849 (surcharge, \$65; statutory basic filing fee, \$385; additional claim fees, \$189, extension fee, \$210) to cover the fees required.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 7/19/04

By: 
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